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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Kenneth K. LI ) Box Missing Parts  
Serial No. 10/078,256 )  
Filed: February 20, 2002 )  
Attention: ) Applications Branch

For: ILLUMINATION SYSTEM USING FILAMENT LAMPS

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated March 11, 2002, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))  
740.00 (basic filing fee)  
234.00 (additional claims fee)  
**\$ 1,104.00 TOTAL**

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document is being submitted concurrently under separate cover:

- Assignment w/ 1619 and \$40.00 check.

Respectfully submitted,

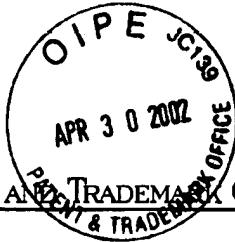
By 

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,256	02/20/2002	Kenneth K. Li	2138-239

6449  
ROTHWELL, FIGG, ERNST & MANBECK, P.C.  
1425 K STREET, N.W.  
SUITE 800  
WASHINGTON, DC 20005

## CONFIRMATION NO. 3227

## FORMALITIES LETTER



\*OC000000007614931\*

Date Mailed: 03/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted



An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$234.  
■ \$234 for 13 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1104.

05/02/2002 YPOLITE1 00000022 10078256

01 FC:101  
02 FC:103  
03 FC:105

740.00 0P  
234.00 0P  
130.00 0P

*A copy of this notice MUST be returned with the reply.*

RECEIVED/DOCKETING  
ROTHWELL, FIGG, ERNST & MANBECK

MAR 14 2002

2138-239

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE